

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Shaomeng Wang et al.

Serial No.: 10/729,156 Group No.: 1614

Filed: 12/05/2003 Examiner: Entitled: Small Molecule Antagonists of BCL-2 Family Proteins

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated:

January 26, 2005

# Sir or Madam:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

This Information Disclosure Statement is being filed before the issuance of an Office Action, therefore Applicant's believe no fee is required.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: \_\_\_\_\_\_\_ January 26, 2005

Registration No. 55,210

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900



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**Proteins** 

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By:

Mary Ellen Waite

# Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 3,647,791 issued to Rossi *et al.* on 03/07/72
- WO 97/40015 published on 30 October 1997
- WO 96/04250 published on 15 February 1996
- WO 94/20497 published on 15 September 1994
- Boyfield *et al.*, "n-(substituted-phenyl)piperazines:" Bioorganic And Medicinal Chemistry Letters, 6:1227-32 (1996)
- Rao, "Agents acting on the central nervous system. XIII:", Journal of Medicinal Chemistry 13:516-22 (1970)

- Singh *et al.*, "Antihypertensive and cns depressant properties of 3-(gamma-p-fluorobenzoylpropyl)2,3,4,4a,5,6-hexahydro-a)h)-pyrazinol(1-2-a)quinoline hydrochloride", Experientia 29:1529-30 (1973)
- Singh *et al.*, "Pharmacological studies on 3[gamma-(p-fluorobenzoly)propyl]- 2,3,4,4a,5,6,hexahydro-1-(H)pyrazinol(1,2,-a)quinoline hydrochloride (Compound 69/83)" Arrzneimittel Forschung Drug Research 28:1641-4 (1978)

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: January 26, 2005

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FORM PTO-1449 (Modified)		Patent and Trademark Office			Attorney Docket No.: UM-08477		Serial No.: 10/729,156			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)										
(37 CFR § 1.	98(b))									
					Applicant: Shaomeng	Wang et al.	<b>Y</b>			
					Filing Date: 12/5/03 Group Art Unit:					
				U.S. PATENT DOC	UMENTS					
Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee		Class	Subclass	Filing Date		
	1	3,647,791	03/7/72	Rossi et al.		260	268	09/19/67		
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS										
		Document Number	Publication Date	Country / Patent Office		Class	Subclass	Trans	lation	
								Yes	No	
	2	WO 97/40015	30 Oct 1997	PCT						
	3	WO 96/04250	15 Feb 1996	PCT						
	4	WO 94/20497	15 Sept 1994	PCT						
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)										
	5 Boyfield et al., "n-(substituted-phenyl)piperazines:" Bioorganic And Medicinal Chemistry Letters, 6:1227-32 (1996)									
· <u>-</u>	6	Rao, "Agents acting on the central nervous system. XIII:", Journal of Medicinal Chemistry 13:516-22 (1970)								
	7	Singh et al., "Antihypertensive and cns depressant properties of 3-(gamma-p-fluorobenzoylpropyl)2,3,4,4a,5,6-hexahydro-1(h)-pyrazinol(1,-2-a)quinoline hydrochloride", Experientia 29:1529-30 (1973)								
	8	Singh et al., "Pharmacological studies on 3[gamma-(p-fluorobenzoly)propyl]-2,3,4,4a,5,6,hexahydro-1-(H)pyrazinol(1,2,-a)quinoline hydrochloride (Compound 69/83)" Arrzneimittel Forschung Drug Research 28:1641-4 (1978)								
Examiner:					Date Considered:					

EXAMINER:Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

to applicant.